



DM 16-807

NHPUC 18AUG'16am10:29

BROKER ONLINE EXCHANGE

August 15, 2016

New Hampshire Public Utilities Commission
Debra Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, N.H. 03301

Re: Aggregator Renewal Application for Broker Online Exchange, LLC — DM 14-202

Dear Ms. Howland,

Please find enclosed Broker Online Exchange's ("BOX") renewal application of its Electric Aggregator License. Please excuse the lateness of filing, our processes have now been updated to ensure that going forward this renewal occurs in a manner complying with N.H. Code Admin. Rules Puc 2003.05(a).

BOX has successfully complied with following requirements:

1. Original, 2 copies, electronic version: We are including two (2) copies of this application, and an electronic version, in addition to the cover letter and original application.
2. Registration application: We have included the registration application
3. Initial Registration Fee: We have included the Initial Registration Fee of \$125.00
4. Electronic Copy: As required an electronic copy was sent to the Executive Director.

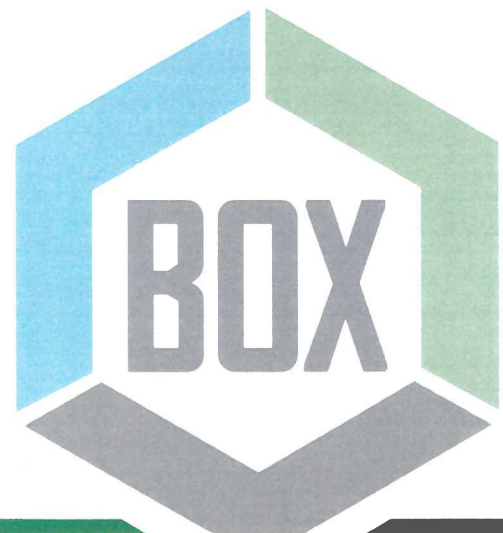
Thank you for your attention to this matter.

Sincerely,

Arthur Gruen
VP, Broker Online Exchange
Arthur@brokeronlinexchange.com

400 Rella Boulevard,
Suite 160, Suffern NY 10901

1-844-BoxedIn (269-3346)
 info@brokeronlinexchange.com
 www.brokeronlinexchange.com



Puc 2006.02 Form for Initial and Renewal Registration of Aggregators.

(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state:

Legal Name: Broker Online Exchange, LLC
Trade Name: Broker Online Exchange, LLC

(2) The applicant's business address, telephone number, e-mail address and website address, as Applicable:

400 Rella Boulevard
Suite 160
Suffern NY 10901
1-844-269-3346
Arthur@brokeronlinexchange.com
www.brokeronlinexchange.com

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual:

Arthur Gruen, VP
400 Rella Boulevard
Suite 160
Suffern NY 10901
1-844-269-3346
Arthur@brokeronlinexchange.com

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available:

Arthur Gruen, VP
400 Rella Boulevard
Suite 160
Suffern NY 10901
1-844-269-3346
Arthur@brokeronlinexchange.com

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual:

See attached

(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above:

New Hampshire Electric
Public Service of New Hampshire

(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent:

By the signature in section 9 below, Broker Online Exchange, LLC hereby states that it is not representing and is not affiliated with any supplier interest.

(8) Except as provided in 2003.04(e), payment of the required filing fee:

Check for \$125 filing fee enclosed.

(9) The signature of the applicant or its representative.

Sincerely,

A handwritten signature in dark ink, appearing to be 'Arthur Gruen', with a stylized, flowing script.

Arthur Gruen
VP, Broker Online Exchange, LLC
August 15, 2016



State of New Hampshire

Department of State



Accepted Date: 8/15/2016
Business Name: **BROKER ONLINE EXCHANGE, LLC**
Principal Office Address: **400 Rella Boulevard, Suite 160, Suffern, NY, 10901, USA**

RE: Acceptance of Business Formation

This letter is to confirm the acceptance of the following business formation:

Business ID: 749221
Tracking#: 3342030
Effective Date: 08/15/2016
Payment Transaction #: 117204

To maintain your business registration in good standing, you must maintain a Registered Agent at all times.
You must also file an annual report no later than April 1st of each year.
To file your annual report please go to <http://www.sos.nh.gov/corporate/annualreport/>.

It is incumbent upon you to keep this office informed of address or email changes to ensure that all communications from our office reaches you.
There is no charge for address changes.

Please visit our website for helpful information regarding all your business needs. If you require assistance or should you have any questions, you may contact the Corporation Division using the information provided below.
Please reference your Business ID in your communication.

Thank you.
New Hampshire Department of State
Corporation Division



State of New Hampshire
Department of State

Filed
Date Filed: 08/15/2016
Effective Date: 08/15/2016
Business ID: 749221
William M. Gardner
Secretary of State

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is

BROKER ONLINE EXCHANGE, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is

BROKER ONLINE EXCHANGE, LLC

THIRD: It is formed under the laws of **Delaware**

FOURTH: The date of its formation is **11/20/2013**

DURATION: The period of duration is **Perpetual**

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is

NAICS CODE	NAICS SUBCODE
OTHER / Electricity Aggregator	

SIXTH: The name of its registered agent **IN NEW HAMPSHIRE** is **Registered Agent Solutions, Inc.**

and the physical address, of its initial registered office **IN NEW HAMPSHIRE** is

159 Main St Ste 100,, Nashua, NH, 03060, USA

SEVENTH : The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

PRINCIPAL OFFICE ADDRESS:

PRINCIPAL OFFICE BUSINESS ADDRESS	PRINCIPAL OFFICE MAILING ADDRESS
400 Rella Boulevard, Suite 160, Suffern, NY, 10901, USA	400 Rella Boulevard, Suite 160, Suffern, NY, 10901, USA

Mailing Address - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989

Physical Location - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH

Phone: (603)271-3246 | Fax: (603)271-3247 | Email: corporate@sos.nh.gov | Website: sos.nh.gov

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

BROKER ONLINE EXCHANGE, LLC

CERTIFY:

☒ By checking this box and continuing, each signatory certifies that the information provided herein is true, accurate, and complete to the best of his/her knowledge and belief, and that he/she has authorized the affixing of his/her electronic signature in accordance with the Electronic Signatures in Global and National Commerce Act (e-Sign) and N.H. RSA § 294-E. Further, each signatory understands that his/her electronic signature has full legal effect and enforceability and he/she intends this form, as signed, to be filed with the office of the New Hampshire Secretary of State.

EFFECTIVE DATE:

This statement shall be effective from: 08/15/2016

*Signature: Arthur Gruen

Title: VP

Date signed: 08/15/2016

Complete address of person signing: 400 Rella Boulevard, Suite 160, Suffern, NY, 10901, USA

Notice: The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal revenue Code and the regulation promulgated thereunder.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989

Physical Location - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH

Phone: (603)271-3246 | **Fax:** (603)271-3247 | **Email:** corporate@sos.nh.gov | **Website:** sos.nh.gov

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Amy L. Ignatius

COMMISSIONERS
Robert R. Scott
Martin P. Honigberg

EXECUTIVE DIRECTOR
Debra A. Howland



PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

August 28, 2014

Arthur Gruen, VP
Broker Online Exchange
PO Box 4668 #45033
New York, NY 10163-4668

Re: DM 14-202 Broker Online Exchange, LLC
Application to Register as Provider of Electric Aggregation Service

Dear Mr. Gruen:

On July 28, 2014, Broker Online Exchange, LLC submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of August 28, 2014. The registration is for a term of 2 years and expires at the end of business on August 27, 2016. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before June 29, 2016.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at <http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html>. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in dark ink, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List/Docket File